

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. Is	2. Issuer Name and Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Warma Neil	K			Op	exa	Therap	eutio	cs, Inc.	[OPXA	\]					
(Last)	(Last) (First) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)						X Director X Officer (g	•	ow)	0% Owner Other (speci	fy below)
C/O OPEXA THERAPEUTICS, INC., 2635 TECHNOLOGY FOREST					5/16/2016						President, Cl	EO and A	cting CFO		
BLVD.	(0)												77111		
	(Stre	et)		4. Ii	f An	nendment,	Date (Original F	iled (MM/I	OD/YYYY)	6. Individual of	or Joint/G	roup Filing (Check Appl	icable Line)
THE WOODLANDS, TX 77381 (City) (State) (Zip)										X_Form filed by One Reporting Person Form filed by More than One Reporting Person					
1.Title of Security (Instr. 3)				nns. Date	2A. D Execu	reemed attion (Ins	rans. Co	ode 4. So or D (Inst	ecurities Acq isposed of (I r. 3, 4 and 5)	uired (A) 5. D) Fo	Amount of Securit Sollowing Reported Tastr. 3 and 4)	ies Beneficia		Form: Direct (D) or Indirect (I) (Instr.	7. Nature of Indirect Beneficial Ownership (Instr. 4)
	Tabl	e II - Deri	vative Secu	ırities B	Bene	I	Code vned (e.g., put		Price varrants,	options, conve	rtible sec	urities)	4)	
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)	e Derivative		quired sed of	6. Date Exe Expiration		7. Title and Securities U Derivative S (Instr. 3 and	Inderlying Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	Ownership Form of Derivative (Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Employee Stock Option (Right to Buy)	\$2.13	5/16/2016		A		50000		<u>(1)</u>	5/16/2026	Common Stock	50000	\$0	50000	D	

Explanation of Responses:

(1) 25% vests on the date of grant and the remaining 75% vests quarterly over the ensuing three years.

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Warma Neil K C/O OPEXA THERAPEUTICS, INC. 2635 TECHNOLOGY FOREST BLVD. THE WOODLANDS, TX 77381	X		President, CEO and Acting CFO				

Signatures

/s/ Neil Warma	5/18/2016		
** Signature of Reporting Person	Date		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.