

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				[2	2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Richman Mi	ichael			ŀ	Opex	a Ther	apeutic	s, I	nc. [OPXA]		•			
(Last) (First) (Middle)				3	3. Date of Earliest Transaction (MM/DD/YYYY)						X Director Officer (gi	X _ Director10% Owner Officer (give title below) Other (specify below)				
C/O OPEXA							5/1	6/20)16							
INC., 2635 T BLVD.	rechno	LOGY	FORE	EST												
	(Stre	eet)		4	. If A	mendme	nt, Date C	rigir	nal Fil	ed (MM/D	D/YY	YY) 6. Individual	or Joint/G	roup Filing	(Check Appl	icable Line)
THE WOOI	DLANDS	, TX 77.	381									X Form filed		rting Person One Reporting F	Person	
(C	City) (Sta	te) (Zi	ip)									Tomi med of	, whore than (one reporting i	CISON	
			Table 1	I - Non-D	eriva	tive Secu	ırities Ac	quir	ed, Di	sposed o	f, or	Beneficially Own	ed			
1. Title of Security (Instr. 3)		2. Trans. Da			3. Trans. Co (Instr. 8)	de	e 4. Securities Acquire or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securi Following Reported (Instr. 3 and 4)	ities Beneficially Owned Transaction(s)		6. Ownership Form:	7. Nature of Indirect Beneficial		
							Code	V	Amou	(A) or	Pri	ce				Ownership (Instr. 4)
Common Stock				5/16/2016			A		6455	A	\$0		12974		D	
	Tab	le II - Der	ivative	Securitie	s Ben	eficially	Owned (e.g. ,	, puts,	calls, w	arrai	nts, options, convo	ertible sec	curities)		
1. Title of Derivate Security (Instr. 3)	curity Conversion or Exercise Price of Derivative		n (Instr.	rans. Code 7. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				Expiration Date Securi Deriva			le and Amount of ities Underlying ative Security . 3 and 4)	Derivative Security (Instr. 5)	Securities Beneficially Owned	Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Security			Cod	e V	(A)	(D)	Date Exer	cisable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

Explanation of Responses:

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Richman Michael							
C/O OPEXA THERAPEUTICS, INC.	X						
2635 TECHNOLOGY FOREST BLVD.	21						
THE WOODLANDS, TX 77381							

Signatures

/s/ Neil Warma, attorney-in-fact	5/18/2016				
**Signature of Reporting Person	Date				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.